



# SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
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Company Information

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SEC Registration No. 0000039274

Company Name PHINMA ENERGY CORPORATION

Industry Classification

Company Type Stock Corporation

## Document Information

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Remarks Reporting-Philippine Investment Management (PHIMA), Inc.

P	H	I	N	M	A	E	N	E	R	G	Y	C	O	R	P	O	R	A	T	I	O	N

(Company's Full Name)

L	E	V	E	L	I	I	P	H	I	N	M	A	P	L	A	Z	A	3	9	P	L	A	Z	A			
D	R	I	V	E	R	O	C	K	W	E	L	C	E	N	T	E	R	M	A	K	A	T	I	C	I	T	Y

(Business Address: No. Street City/Town/Province)

**MS. YOLANDA AÑONUEVO**  
(Contract Person)

**870-0100**  
(Company Telephone Number)

**1 2**   **3 1**  
Month   Day  
(Fiscal Year)

**2 3 - B**  
(Form Type)

Month   Day  
(Annual Meeting)

(Secondary License Type, If Applicable)

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

    
Total Amount of Borrowings  
Domestic   Foreign

To be accomplished by SEC Personnel concerned

File Number

\_\_\_\_\_ LCU

Document ID

\_\_\_\_\_ Cashier

STAMPS

SECURITIES AND EXCHANGE COMMISSION

Metro Manila, Philippines

**FORM 23-B**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

1. Name and Address of Reporting Person <b>Philippine Investment-Management (PHINMA), Inc.</b> <small>(Last) (First) (Middle)</small> <b>PHINMA Plaza, No. 39 Plaza Drive, Rockwell Ctr.</b> <small>(Street)</small> <b>Makati City</b> <small>(City) (Province) (Postal Code)</small>		2. Issuer Name and Trading Symbol <b>Phinma Energy Corporation (PHEN)</b>			7. Relationship of Reporting Person to Issuer <small>(Check all applicable)</small>  <input type="checkbox"/> Director <input type="checkbox"/> Officer <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> <small>10% Owner</small>			
3. Tax Identification Number <b>000-136-772-000</b>		5. Statement for <b>November, 2017</b>			6. If Amendment, Date of Original (MonthYear)			
4. Citizenship <b>Filipino</b>		Table 1 - Equity Securities Beneficially Owned						
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (U) or Indirect (I) *	6. Nature of Indirect Beneficial Ownership
		Amount	(A) or (D)	Price	%	Number of Shares		
Common shares	6-Nov-17	200,000	A	1.69	25.21%	1,232,637,502	D	
Common shares	7-Nov-17	50,000	A	1.68				
Common shares	7-Nov-17	100,000	A	1.69				
Common shares	8-Nov-17	50,000	A	1.65				
Common shares	8-Nov-17	50,000	A	1.66	9.44%	461,498,805	I	PHINMA, INC. owns 36.00% of
Common shares	8-Nov-17	100,000	A	1.67				while Phinma Corporation
Common shares	9-Nov-17	100,000	A	1.64				owns 26.22%, or 1,282,119,198
Common shares	9-Nov-17	100,000	A	1.65				of Phinma Energy Corp.
Common shares	10-Nov-17	50,000	A	1.65				
Common shares	10-Nov-17	20,000	A	1.67				
Common shares	16-Nov-17	500,000	A	1.60				
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						<b>1,694,136,307</b>		

(Print or Type Responses)

**If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.**

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

**FORM 23-B**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**  
Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

1. Name and Address of Reporting Person Philippine Investment-Management (PHINMA), Inc. <small>(Last) (First) (Middle)</small> PHINMA Plaza, No. 39 Plaza Drive, Rockwell Ctr. <small>(Street)</small> Makati City <small>(City) (Province) (Postal Code)</small>			2. Issuer Name and Trading Symbol Phinma Energy Corporation (PHEN)			7. Relationship of Reporting Person to Issuer (Check all applicable)  <input type="checkbox"/> Director <input type="checkbox"/> Officer <small>(give title below)</small>		
3. Tax Identification Number 000-136-772-000			5. Statement for Month/Year November, 2017			<input checked="" type="checkbox"/> 10% Owner <small>(specify below)</small>		
4. Citizenship Filipino			6. If Amendment, Date of Original (Month/Year)					

Table 1 - Equity Securities Beneficially Owned

1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
					%	Number of Shares		
Common shares	16-Nov-17	Amount	(A) or (D)	Price				
		100,000	A	1.61				
Common shares	21-Nov-17	400,000	A	1.56				
Common shares	22-Nov-17	100,000	A	1.56				
Common shares	24-Nov-17	200,000	A	1.57				
Common shares	28-Nov-17	300,000	A	1.55				
NOTHING FOLLOWS								

(Print or Type Responses)

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    - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
    - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
  - (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
    - (A) held by members of a person's immediate family sharing the same household;
    - (B) held by a partnership in which such person is a general partner;
    - (C) held by a corporation of which such person is a controlling shareholder; or
    - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Not applicable												

Explanation of Responses:

Note: File three (3) copies of this form, one of which must be manually signed.  
Attach additional sheets if space provided is insufficient.

  
**MARVIN T. CORITANA**  
AVP-Controller